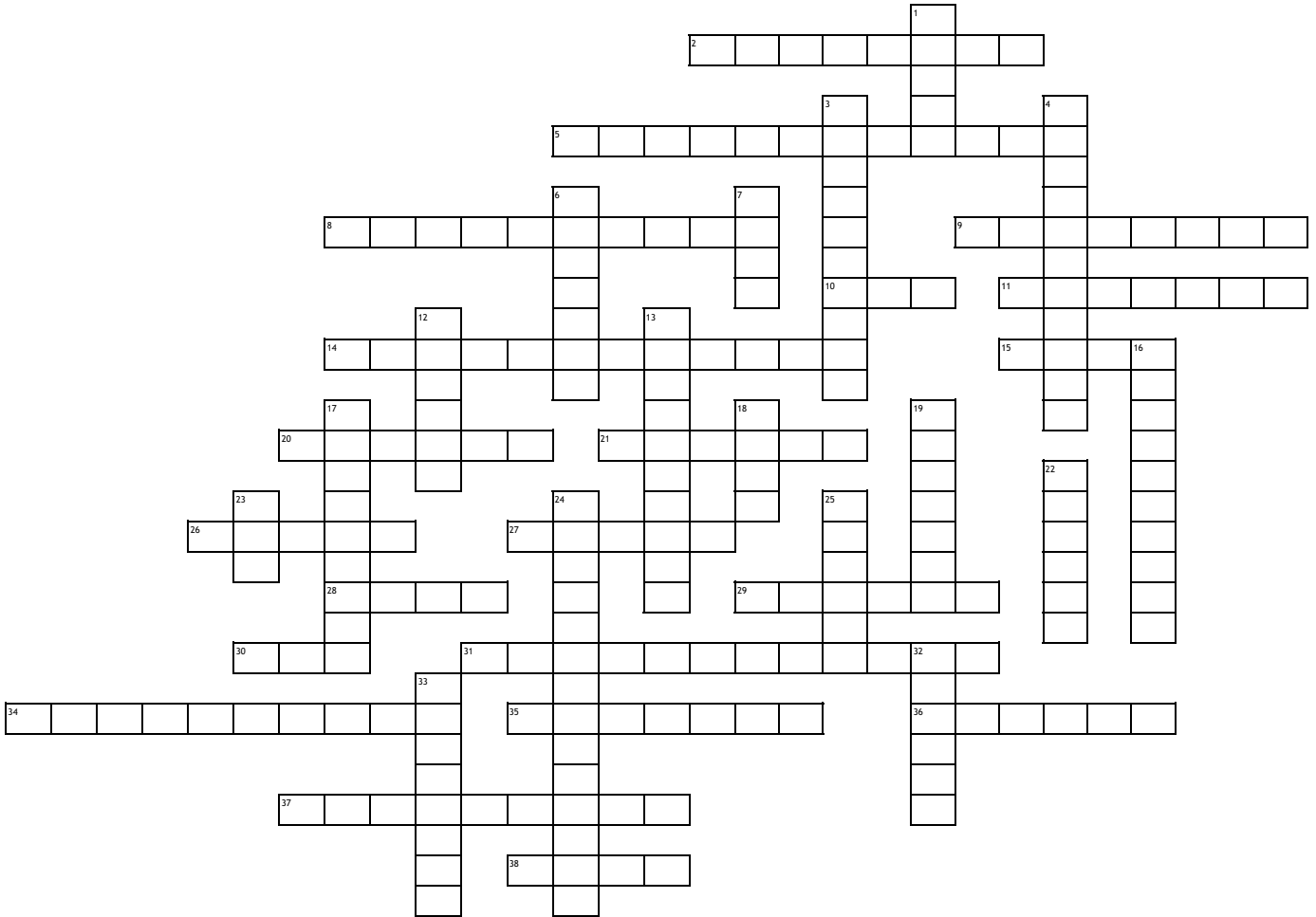


Compliance Crossword Puzzle



Across

- 2. An anonymous way to report an ethics or compliance concern
- 5. Employment _____ and Professional References Policy
- 8. Juanita Banks is ATC's Designated _____ Officer
- 9. _____ Oxley Act was instituted in 2002, as a result of a series of high-profile financial scandals
- 10. Tone at the _____
- 11. An ATC _____ has a \$50,000 expenditure authorization limit
- 14. Per the External Communications & Social Media Policy, do not represent yourself as a _____ for ATC
- 15. Tax (acronym) imposed on both employees and employers to fund Social Security and Medicare
- 20. The frequency of completing ATC's Business Ethics Questionnaire
- 21. Per ATC's Code of Conduct, each ATC employee has a responsibility to promptly _____ any known violation or dishonest, unethical, or illegal conduct
- 26. Regulation (acronym) enacted in 1996 aimed at ensuring medical records, medical billing, and patient accounts meet certain consistent standards with regard to documentation, handling, and privacy
- 27. Substantiated ethics and/or compliance violations are reported to ATC's _____ Committee
- 28. ATC's FERC formula rates are housed within this
- 29. An employee may take maternity leave under the _____ and Medical Leave Act
- 30. A competitive _____ is required to procure services over \$100,000
- 31. Information should be classified as ATC _____ Information when the unauthorized disclosure, alteration, or destruction of that information could expose the Company to a significant level of risk
- 34. Environmental _____ Agency
- 35. _____ % of ATC's corporate goal attainment ties to Reliability Compliance
- 36. Behavior Based _____ Observations
- 37. Per the Acceptable Use of Electronic Resources Policy, data moved to removable media sources (e.g. USB, CD, etc.) must be _____.
- 38. Ways to report potential issues or concerns are outlined in ATC's Open _____ Policy

Down

- 1. The heading on Page 3 of ATC's Code of Conduct: Doing What's _____
- 3. Generally _____ Accounting Principles
- 4. Enterprise _____ Management
- 6. _____ Reliability Organization
- 7. Regulatory entity (acronym) that assists in the protection of employment discrimination on the basis of race, sex, religion, national origin, and a variety of other characteristics
- 12. The Travel & Expense Policy governs transactions inputted into this system
- 13. The Federal _____ Guidelines outline the seven elements of an effective compliance program
- 16. Personnel Risk _____ Policy
- 17. Escheatment is the process of turning over _____ property to a state authority
- 18. The number of members on ATC's Policy & Ethics Committee
- 19. Per the Gifts & Entertainment Policy, all tangible gifts given to an ATC employee, regardless of dollar amount, must be reported to _____
- 22. Occupational Safety and _____ Administration
- 23. FERC Order 706 governs these Standards
- 24. Controls for providing Users the means to verify or validate a claimed identity through the presentation of something they know (e.g., passwords), something they own (e.g., hardware token), or something they are (e.g., fingerprint, biometrics, etc.).
- 25. _____ employees who do not charge time to project work orders only need to report their exception time (e.g. vacation, sick, personal, or floating holiday hours) each pay period
- 32. Per NERC, Critical _____ are facilities, systems, and equipment which, if destroyed, degraded, or otherwise rendered unavailable, would affect the reliability or operability of the bulk electric system
- 33. Accepting a gift card from a supplier vying for business at ATC represents a Conflict of _____