Compliance Crossword Puzzle

Across
2. An anonymous way to report an ethics or compliance concern
5. Employment __________ and Professional References Policy
8. Juanita Banks is ATC’s Designated __________ Officer
9. ________ Oxley Act was instituted in 2002, as a result of a series of high-profile financial scandals
10. Tone at the ________
11. An ATC ________ has a $50,000 expenditure authorization limit
14. Per the External Communications & Social Media Policy, do not represent yourself as a ____________ for ATC
15. Tax (acronym) imposed on both employees and employers to fund Social Security and Medicare
20. The frequency of completing ATC’s Business Ethics Questionnaire
21. Per ATC’s Code of Conduct, each ATC employee has a responsibility to promptly ________ any known violation or dishonest, unethical, or illegal conduct
26. Regulation (acronym) enacted in 1996 aimed at ensuring medical records, medical billing, and patient accounts meet certain consistent standards with regard to documentation, handling, and privacy
27. Substantiated ethics and/or compliance violations are reported to ATC’s __________ Committee
28. ATC’s FERC formula rates are housed within this
29. An employee may take maternity leave under the ________ and Medical Leave Act
30. A competitive ________ is required to procure services over $100,000
31. Information should be classified as ATC __________. Information when the unauthorized disclosure, alteration, or destruction of that information could expose the Company to a significant level of risk
34. Environmental __________ Agency
35. ________ % of ATC’s corporate goal attainment ties to Reliability Compliance
36. Behavior Based ________ Observations
37. Per the Acceptable Use of Electronic Resources Policy, data moved to removable media sources (e.g. USB, CD, etc.) must be ________.
38. Ways to report potential issues or concerns are outlined in ATC’s Open ______ Policy

Down
1. The heading on Page 3 of ATC’s Code of Conduct: Doing What’s ________
3. Generally __________ Accounting Principles
4. Enterprise __________ Management
6. __________ Reliability Organization
7. Regulatory entity (acronym) that assists in the protection of employment discrimination on the basis of race, sex, religion, national origin, and a variety of other characteristics
12. The Travel & Expense Policy governs transactions inputted into this system
13. The Federal __________ Guidelines outline the seven elements of an effective compliance program
16. Personnel Risk __________ Policy
17. Escheatment is the process of turning over ________ property to a state authority
18. The number of members on ATC’s Policy & Ethics Committee
19. Per the Gifts & Entertianment Policy, all tangible gifts given to an ATC employee, regardless of dollar amount, must be reported to ________
22. Occupational Safety and ________ Administration
23. FERC Order 706 governs these Standards
24. Controls for providing Users the means to verify or validate a claimed identity through the presentation of something they know (e.g., passwords), something they own (e.g., hardware token), or something they are (e.g., fingerprint, biometrics, etc.)
25. ________ employees who do not charge time to project work orders only need to report their exception time (e.g. vacation, sick, personal, or floating holiday hours) each pay period
32. Per NERC, Critical ________ are facilities, systems, and equipment which, if destroyed, degraded, or otherwise rendered unavailable, would affect the reliability or operability of the bulk electric system
33. Accepting a gift card from a supplier vying for business at ATC represents a Conflict of ________
35. ________ % of ATC’s corporate goal attainment ties to Reliability Compliance

Name: ___________________________________________  Date: ___________