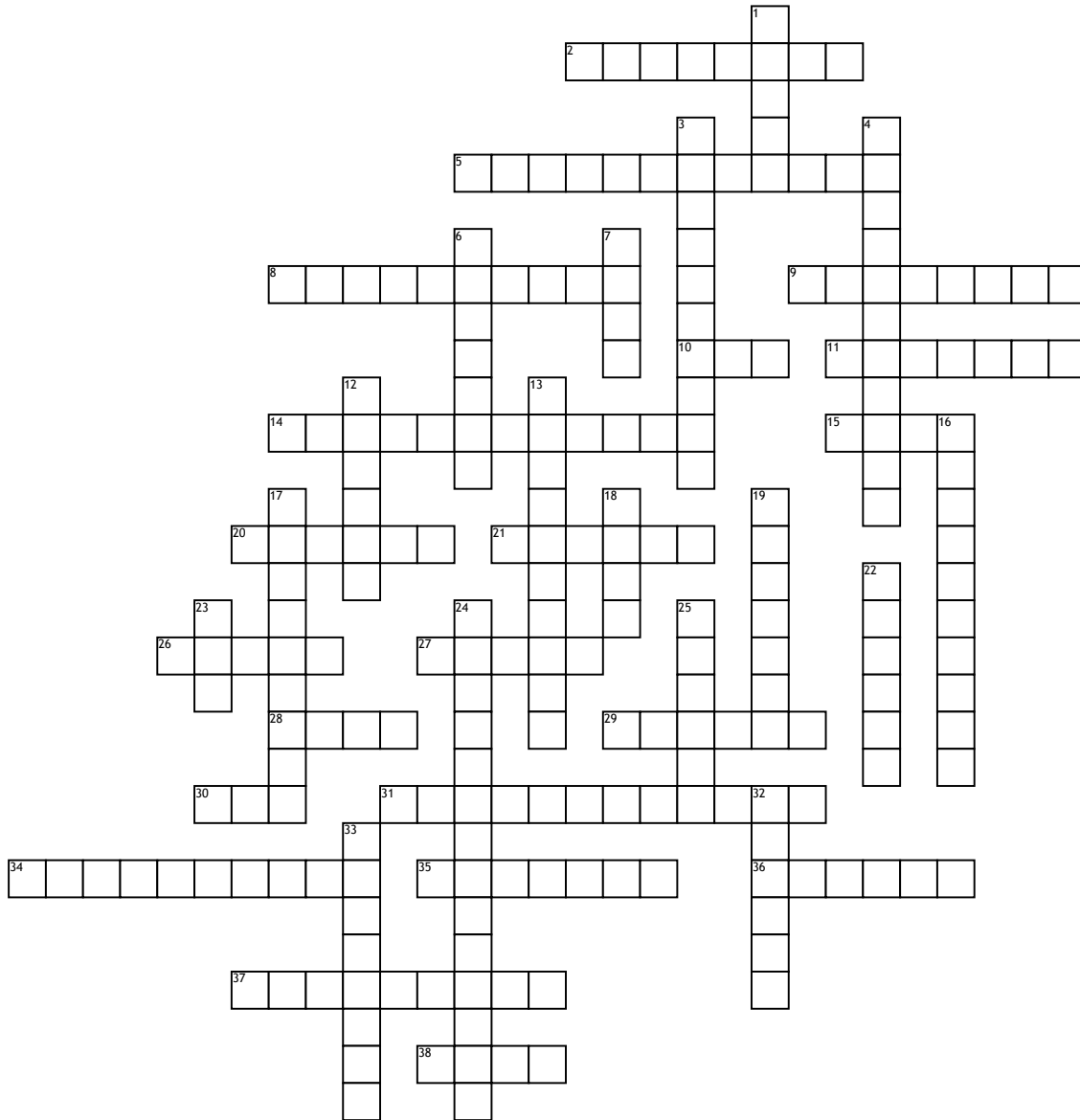


Name: \_\_\_\_\_

Date: \_\_\_\_\_

# Compliance Crossword Puzzle



## Across

2. An anonymous way to report an ethics or compliance concern  
 5. Employment \_\_\_\_\_ and Professional References Policy  
 8. Juanita Banks is ATC's Designated \_\_\_\_\_ Officer  
 9. \_\_\_\_\_ Oxley Act was instituted in 2002, as a result of a series of high-profile financial scandals  
 10. Tone at the \_\_\_\_\_  
 11. An ATC \_\_\_\_\_ has a \$50,000 expenditure authorization limit  
 14. Per the External Communications & Social Media Policy, do not represent yourself as a \_\_\_\_\_ for ATC  
 15. Tax (acronym) imposed on both employees and employers to fund Social Security and Medicare  
 20. The frequency of completing ATC's Business Ethics Questionnaire  
 21. Per ATC's Code of Conduct, each ATC employee has a responsibility to promptly \_\_\_\_\_ any known violation or dishonest, unethical, or illegal conduct  
 26. Regulation (acronym) enacted in 1996 aimed at ensuring medical records, medical billing, and patient accounts meet certain consistent standards with regard to documentation, handling, and privacy  
 27. Substantiated ethics and/or compliance violations are reported to ATC's \_\_\_\_\_ Committee  
 28. ATC's FERC formula rates are housed within this

29. An employee may take maternity leave under the \_\_\_\_\_ and Medical Leave Act  
 30. A competitive \_\_\_\_\_ is required to procure services over \$100,000  
 31. Information should be classified as ATC \_\_\_\_\_ Information when the unauthorized disclosure, alteration, or destruction of that information could expose the Company to a significant level of risk  
 34. Environmental \_\_\_\_\_ Agency  
 35. \_\_\_\_\_ % of ATC's corporate goal attainment ties to Reliability Compliance  
 36. Behavior Based \_\_\_\_\_ Observations  
 37. Per the Acceptable Use of Electronic Resources Policy, data moved to removable media sources (e.g. USB, CD, etc.) must be \_\_\_\_\_  
 38. Ways to report potential issues or concerns are outlined in ATC's Open \_\_\_\_\_ Policy

## Down

1. The heading on Page 3 of ATC's Code of Conduct: Doing What's \_\_\_\_\_  
 3. Generally \_\_\_\_\_ Accounting Principles  
 4. Enterprise \_\_\_\_\_ Management  
 6. \_\_\_\_\_ Reliability Organization  
 7. Regulatory entity (acronym) that assists in the protection of employment discrimination on the basis of race, sex, religion, national origin, and a variety of other characteristics  
 12. The Travel & Expense Policy governs transactions inputted into this system

13. The Federal \_\_\_\_\_ Guidelines outline the seven elements of an effective compliance program  
 16. Personnel Risk \_\_\_\_\_ Policy  
 17. Escheatment is the process of turning over \_\_\_\_\_ property to a state authority  
 18. The number of members on ATC's Policy & Ethics Committee  
 19. Per the Gifts & Entertainment Policy, all tangible gifts given to an ATC employee, regardless of dollar amount, must be reported to \_\_\_\_\_  
 22. Occupational Safety and \_\_\_\_\_ Administration  
 23. FERC Order 706 governs these Standards  
 24. Controls for providing Users the means to verify or validate a claimed identity through the presentation of something they know (e.g., passwords), something they own (e.g., hardware token), or something they are (e.g., fingerprint, biometrics, etc.).  
 25. \_\_\_\_\_ employees who do not charge time to project work orders only need to report their exception time (e.g. vacation, sick, personal, or floating holiday hours) each pay period  
 32. Per NERC, Critical \_\_\_\_\_ are facilities, systems, and equipment which, if destroyed, degraded, or otherwise rendered unavailable, would affect the reliability or operability of the bulk electric system  
 33. Accepting a gift card from a supplier vying for business at ATC represents a Conflict of \_\_\_\_\_