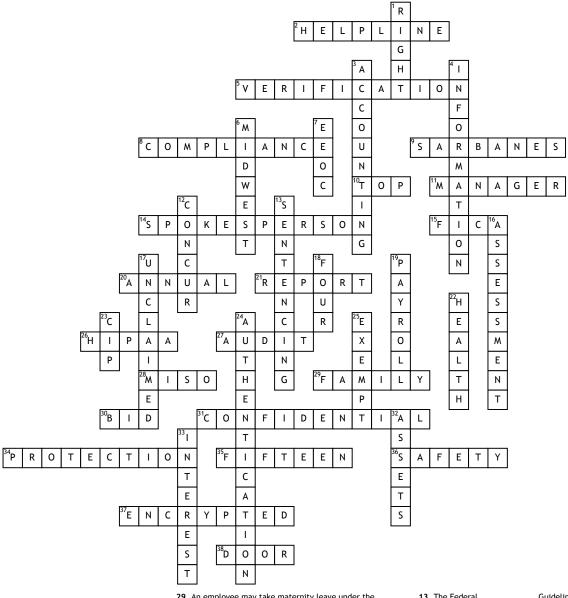
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## Compliance Crossword Puzzle



<u>Across</u>
2. An anonymous way to report an ethics or compliance concern
5. Employment and Professional References Policy
B. Juanita Banks is ATC's Designated Officer
9Oxley Act was instituted in 2002, as a result of a series of high-profile financial scandals
10. Tone at the
11. An ATC has a \$50,000 expenditure authorization limit
14. Per the External Communications & Social Media Policy, do not represent yourself as a for ATC
<ol><li>Tax (acronym) imposed on both employees and employer to fund Social Security and Medicare</li></ol>
20. The frequency of completing ATC's Business Ethics Questionnaire
21. Per ATC's Code of Conduct, each ATC employee has a esponsibility to promptly any known violation or

- 26. Regulation (acronym) enacted in 1996 aimed at ensuring medical records, medical billing, and patient accounts meet certain consistent standards with regard to documentation, handling, and privacy
- 27. Substantiated ethics and/or compliance violations are reported to ATC's \_\_\_\_\_\_ Committee
- 28. ATC's FERC formula rates are housed within this

- 29. An employee may take maternity leave under the \_\_\_\_ and Medical Leave Act
- 30. A competitive is required to procure services
- 31. Information should be classified as ATC Information when the unauthorized disclosure, alteration, or destruction of that information could expose the Company to a destruction of that information could expose the Company to a destruction. significant level of risk
- 34. Environmental Agency % of ATC's corporate goal attainment ties to
- Reliability Compliance 36. Behavior Based \_ Observations
- 37. Per the Acceptable Use of Electronic Resources Policy, data moved to removable media sources (e.g. USB, CD, etc.)
- 38. Ways to report potential issues or concerns are outlined

in ATC's Open Policy
<u>Down</u>
1. The heading on Page 3 of ATC's Code of Conduct: Doing

- What's 3. Generally Accounting Principles 4. Enterprise Management
- Reliability Organization Regulatory entity (acronym) that assists in the protection of employment discrimination on the basis of race, sex, religion, national origin, and a variety of other characteristics
- 12. The Travel & Expense Policy governs transactions inputted

- Guidelines outline the seven 13. The Federal elements of an effective compliance program 16. Personnel Risk Policy 17. Escheatment is the process of turning over property to a state authority 18. The number of members on ATC's Policy & Ethics
- 19. Per the Gifts & Entertianment Policy, all tangible gifts given to an ATC employee, regardless of dollar amount, must be reported to \_\_\_\_\_
- 22. Occupational Safety and \_
- 23. FERC Order 706 governs these Standards
- 24. Controls for providing Users the means to verify or validate a claimed identity through the presentation of something they know (e.g., passwords), something they own (e.g., hardware token), or something they are (e.g., fingerprint, biometrics, etc.).
- 25. \_\_\_ employees who do not charge time to project work orders only need to report their exception time (e.g. vacation, sick, personal, or floating holiday hours) each
- are facilities, systems, and 32. Per NERC, Critical 32. Per NERC, CHILGE equipment which, if destroyed, degraded, or otherwise rendered unavailable, would affect the reliability or operability of the bulk electric system
- **33.** Accepting a gift card from a supplier vying for business at ATC represents a Conflict of \_\_\_\_\_